

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board: **114**

Final dispositions by Board: **11**

Full Board opinions: **0**

Opinions rendered by board members:

Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>

Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>

Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



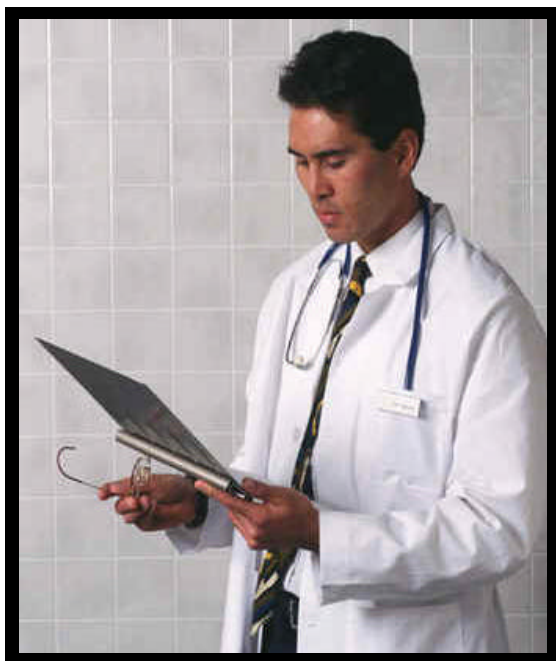
## QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

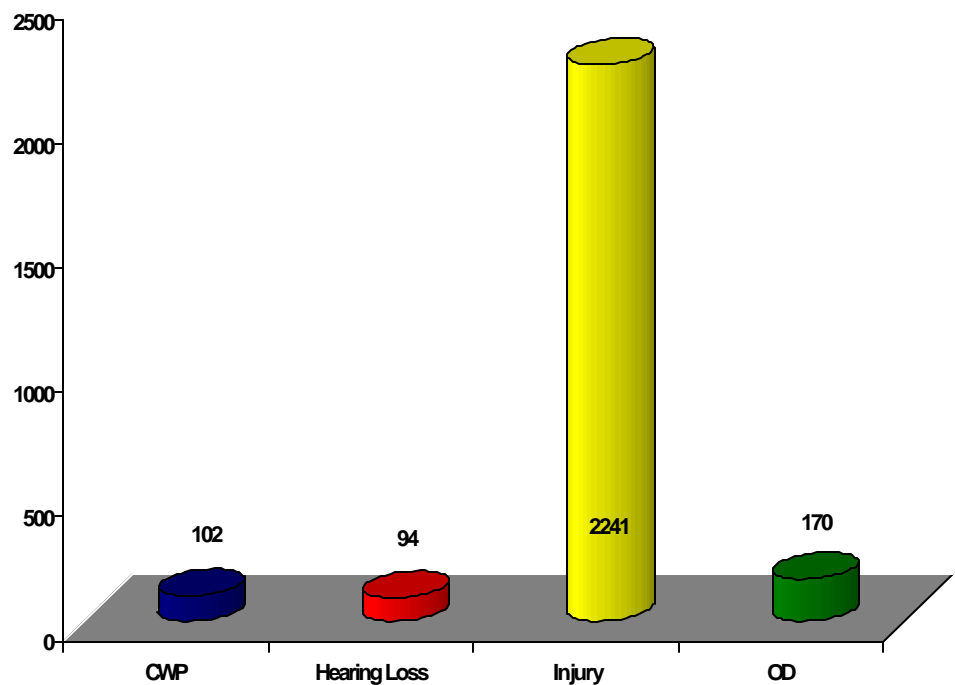


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58

## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

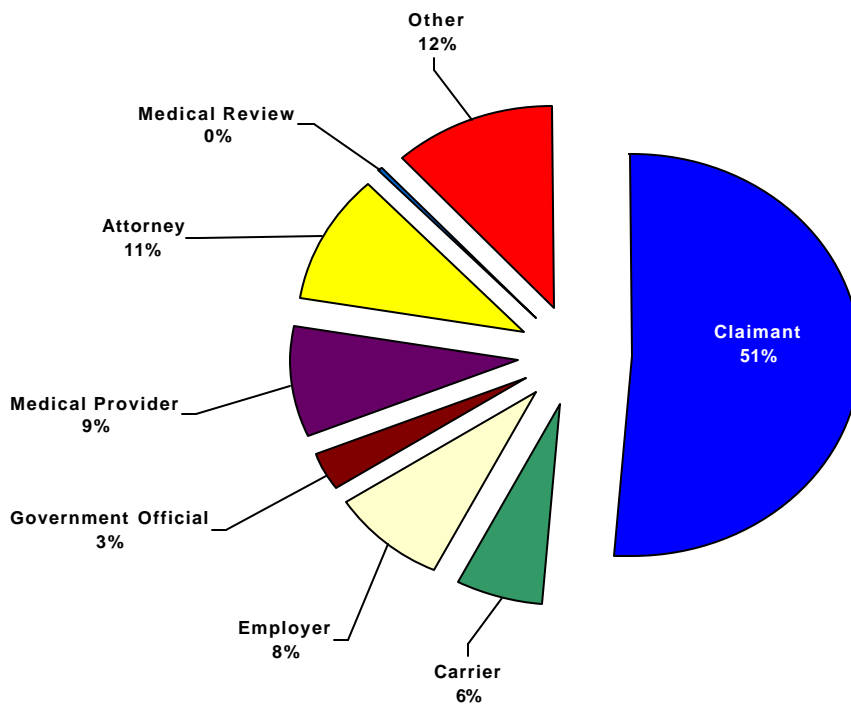
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

# Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

## Self-InsuranceBranch

### Special Projects:

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

## Enforcement Branch

### Statistics

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

## Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.



## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- Virus Software - Random check of virus software on 17 servers.
- MS Security Patches – 230 workstations and 17 Servers.
- Viruscan 8.0 – 200 machines.
- Critical updates — 230 machines 2 times.
- User templates updated with Script — 230 machines.
- RFA load — 2 machines.
- Travel – 8 field offices for various reasons.
- COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- Ghost - 30 machines.
- Printer – 7 setup.
- Move – 17 offices; 1 field office—Network & equipment.
- Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- Backups – SQL issues; TSM issues; restored files; scripts for logs.
- Software Loads – 3 users; 12 training machines (2 times).
- EDI - transmitting data issues.
- Ids – 4 new user accounts; profiles; deletes/ move directories
- KY Phone Directory – 1 troubleshooting issue.
- UPS – troubleshoot 1 machine.
- Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- Maintenance – 2005/2006 OWC.
- Machines – 5 swap.
- Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops





- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board:	<b>114</b>
Final dispositions by Board:	<b>11</b>
Full Board opinions:	<b>0</b>
Opinions rendered by board members:	
Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>
Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>
Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



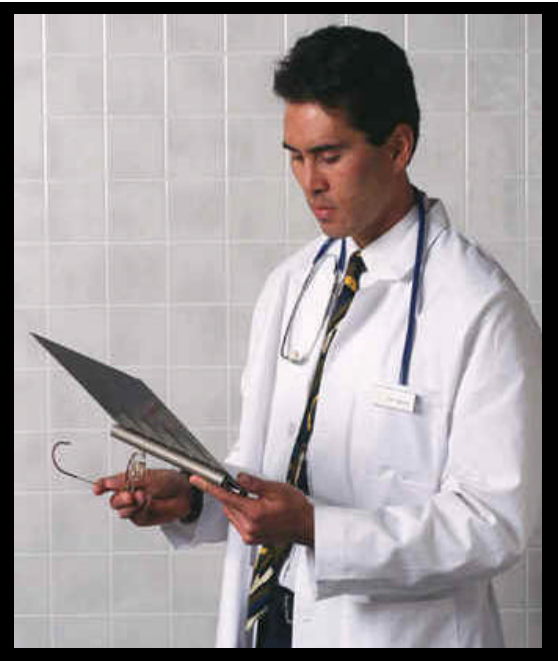
## QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

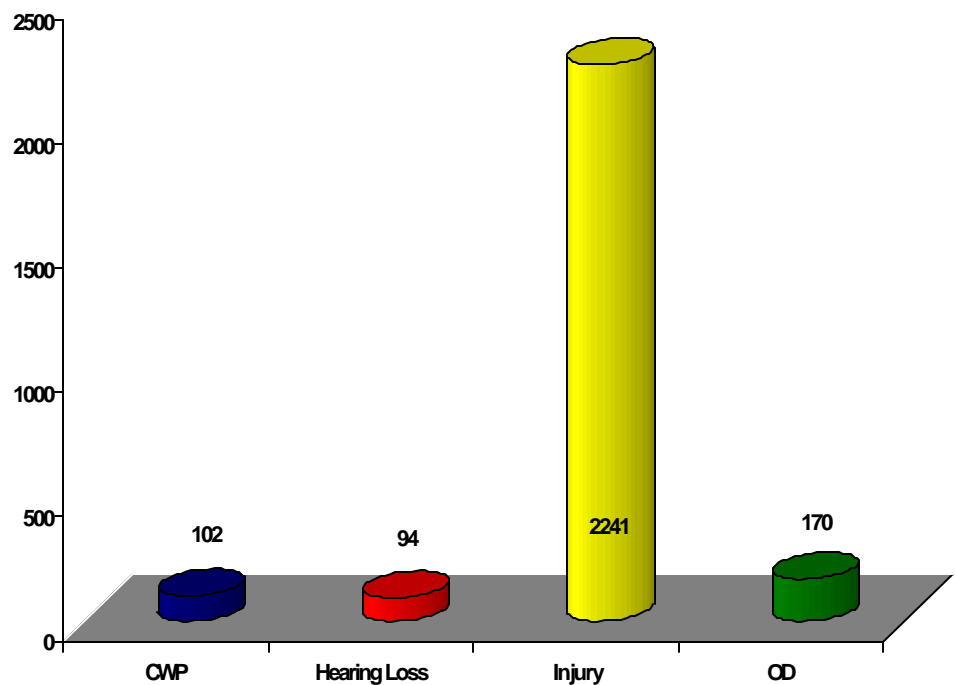


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58

## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

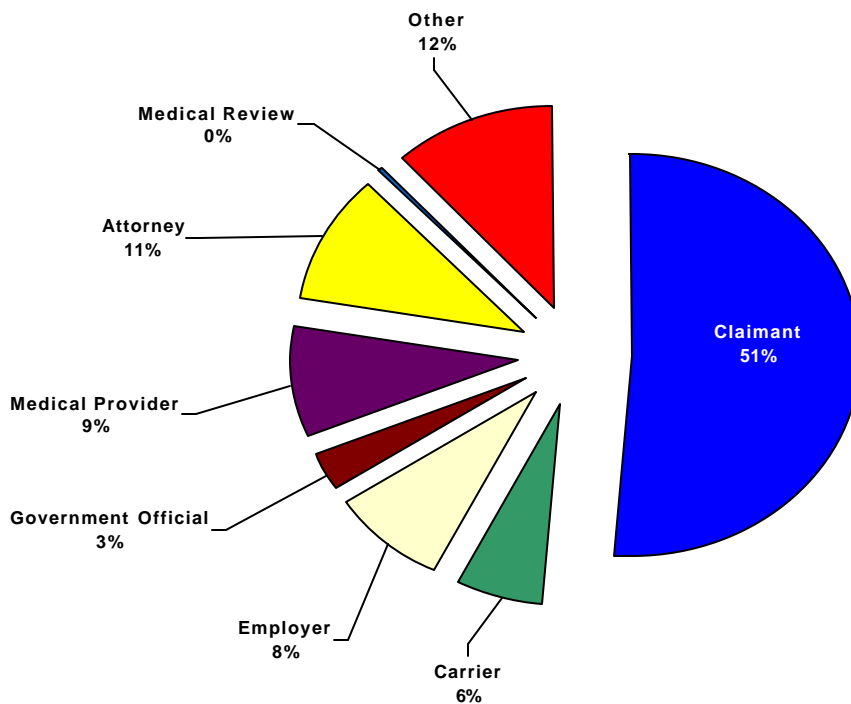
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)



## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.

## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- " Virus Software - Random check of virus software on 17 servers.
- " MS Security Patches – 230 workstations and 17 Servers.
- " Viruscan 8.0 – 200 machines.
- " Critical updates — 230 machines 2 times.
- " User templates updated with Script — 230 machines.
- " RFA load — 2 machines.
- " Travel – 8 field offices for various reasons.
- " COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- " Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- " Ghost - 30 machines.
- " Printer – 7 setup.
- " Move – 17 offices; 1 field office—Network & equipment.
- " Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- " Backups – SQL issues; TSM issues; restored files; scripts for logs.
- " Software Loads – 3 users; 12 training machines (2 times).
- " EDI - transmitting data issues.
- " Ids – 4 new user accounts; profiles; deletes/ move directories
- " KY Phone Directory – 1 troubleshooting issue.
- " UPS – troubleshoot 1 machine.
- " Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- " Maintenance – 2005/2006 OWC.
- " Machines – 5 swap.
- " Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board: **114**

Final dispositions by Board: **11**

Full Board opinions: **0**

Opinions rendered by board members:

Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>

Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>

Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



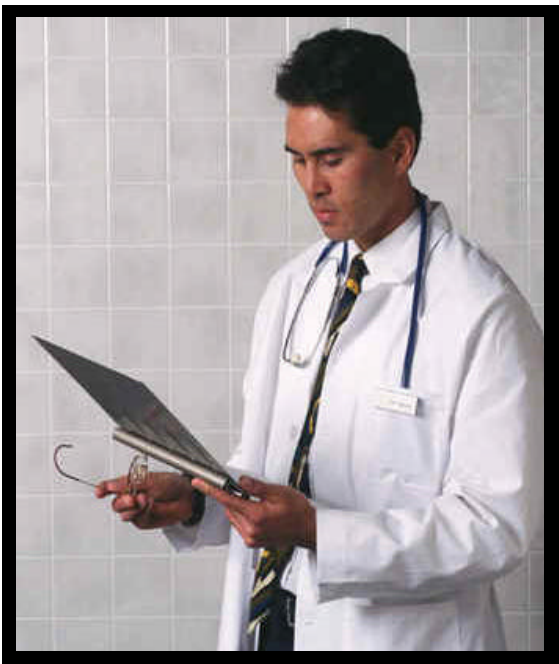
## QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

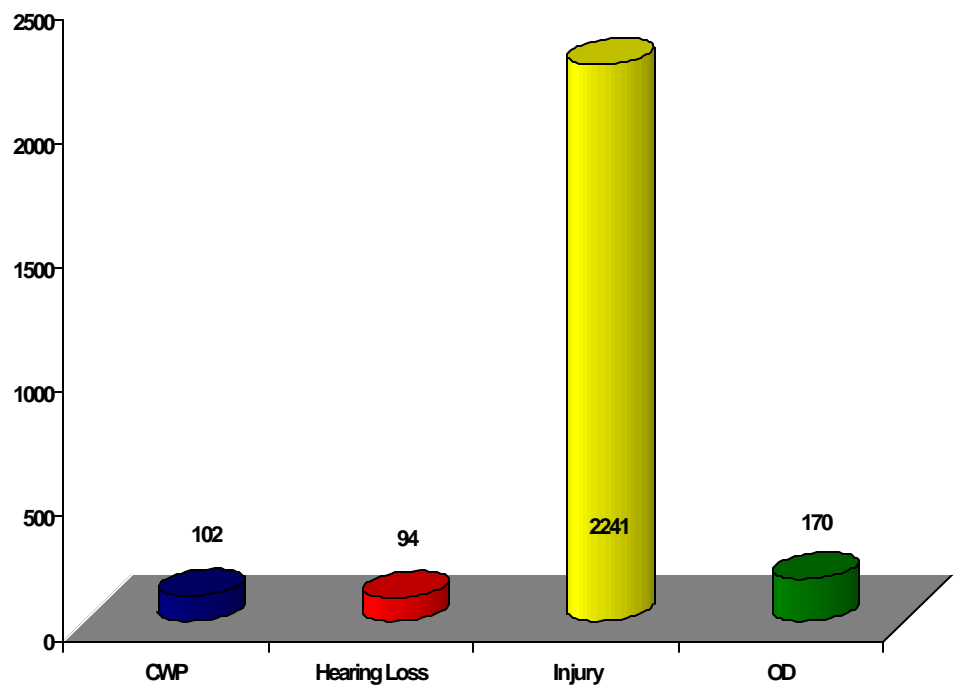


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58



## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

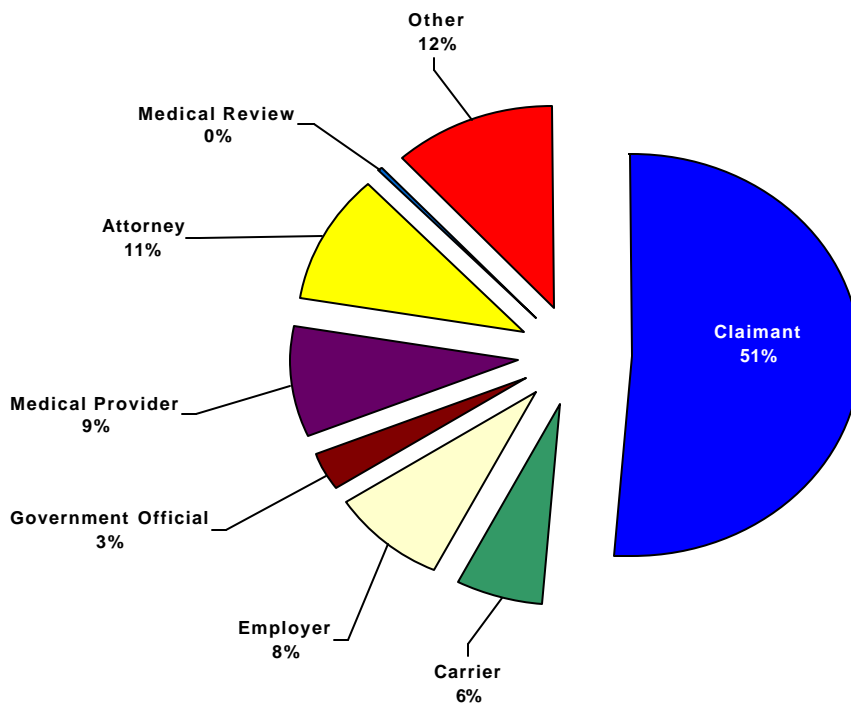
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.

## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- Virus Software - Random check of virus software on 17 servers.
- MS Security Patches – 230 workstations and 17 Servers.
- Viruscan 8.0 – 200 machines.
- Critical updates — 230 machines 2 times.
- User templates updated with Script — 230 machines.
- RFA load — 2 machines.
- Travel – 8 field offices for various reasons.
- COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- Ghost - 30 machines.
- Printer – 7 setup.
- Move – 17 offices; 1 field office—Network & equipment.
- Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- Backups – SQL issues; TSM issues; restored files; scripts for logs.
- Software Loads – 3 users; 12 training machines (2 times).
- EDI - transmitting data issues.
- Ids – 4 new user accounts; profiles; deletes/ move directories
- KY Phone Directory – 1 troubleshooting issue.
- UPS – troubleshoot 1 machine.
- Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- Maintenance – 2005/2006 OWC.
- Machines – 5 swap.
- Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board: **114**

Final dispositions by Board: **11**

Full Board opinions: **0**

Opinions rendered by board members:

Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>

Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>

Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>





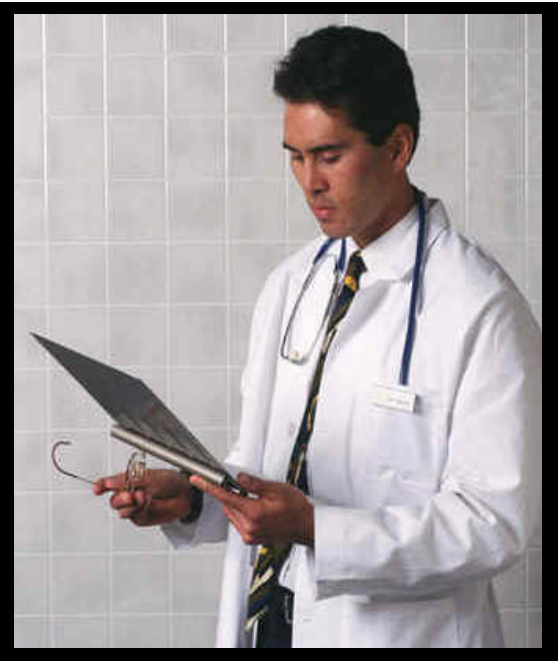
## QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

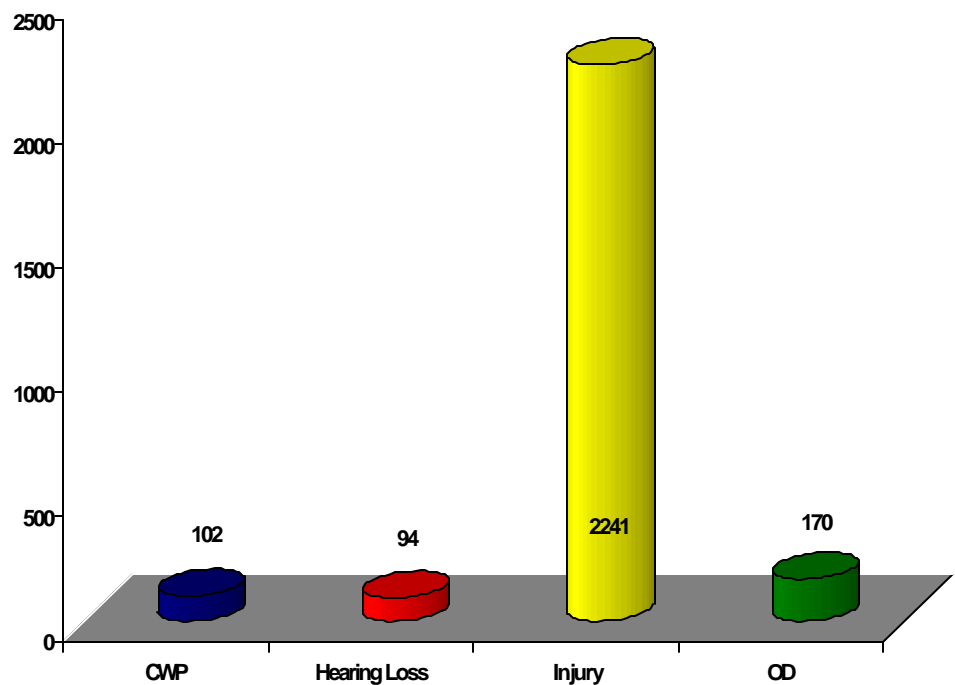


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58

## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

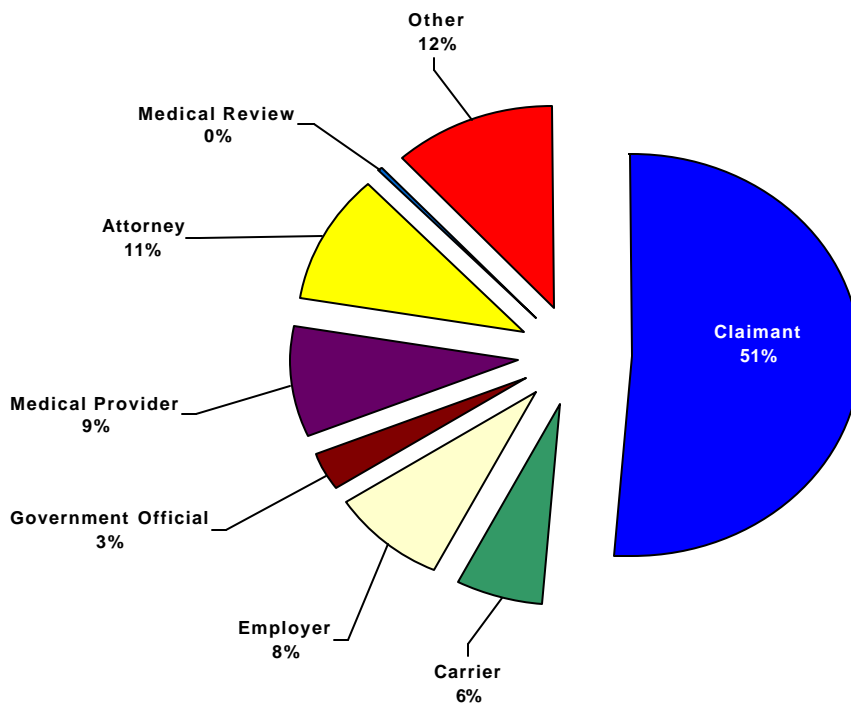
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.

## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- Virus Software - Random check of virus software on 17 servers.
- MS Security Patches – 230 workstations and 17 Servers.
- Viruscan 8.0 – 200 machines.
- Critical updates — 230 machines 2 times.
- User templates updated with Script — 230 machines.
- RFA load — 2 machines.
- Travel – 8 field offices for various reasons.
- COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- Ghost - 30 machines.
- Printer – 7 setup.
- Move – 17 offices; 1 field office—Network & equipment.
- Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- Backups – SQL issues; TSM issues; restored files; scripts for logs.
- Software Loads – 3 users; 12 training machines (2 times).
- EDI - transmitting data issues.
- Ids – 4 new user accounts; profiles; deletes/ move directories
- KY Phone Directory – 1 troubleshooting issue.
- UPS – troubleshoot 1 machine.
- Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- Maintenance – 2005/2006 OWC.
- Machines – 5 swap.
- Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11



# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to theBoard:	<b>114</b>
Final dispositions by Board:	<b>11</b>
Full Board opinions:	<b>0</b>
Opinions rendered by board members:	
Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>
Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>
Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



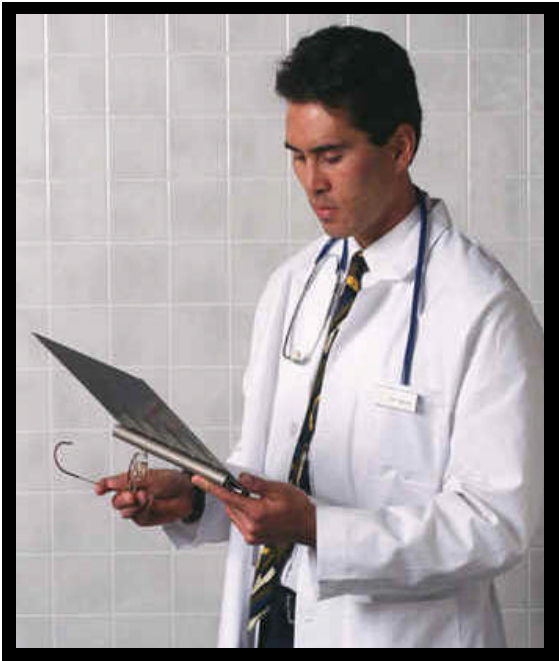
# QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

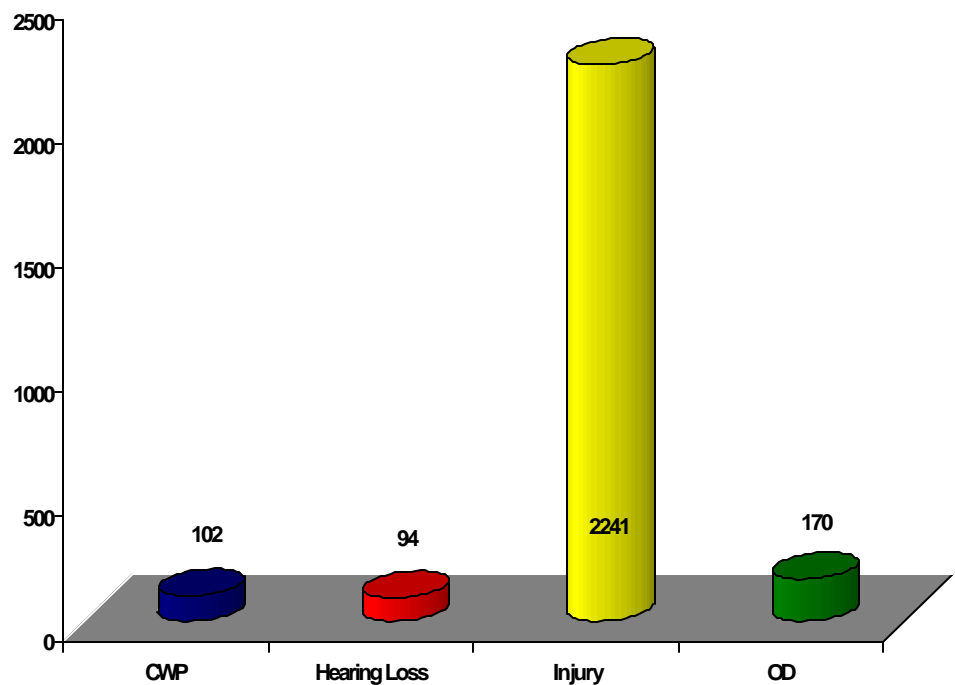


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58

## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

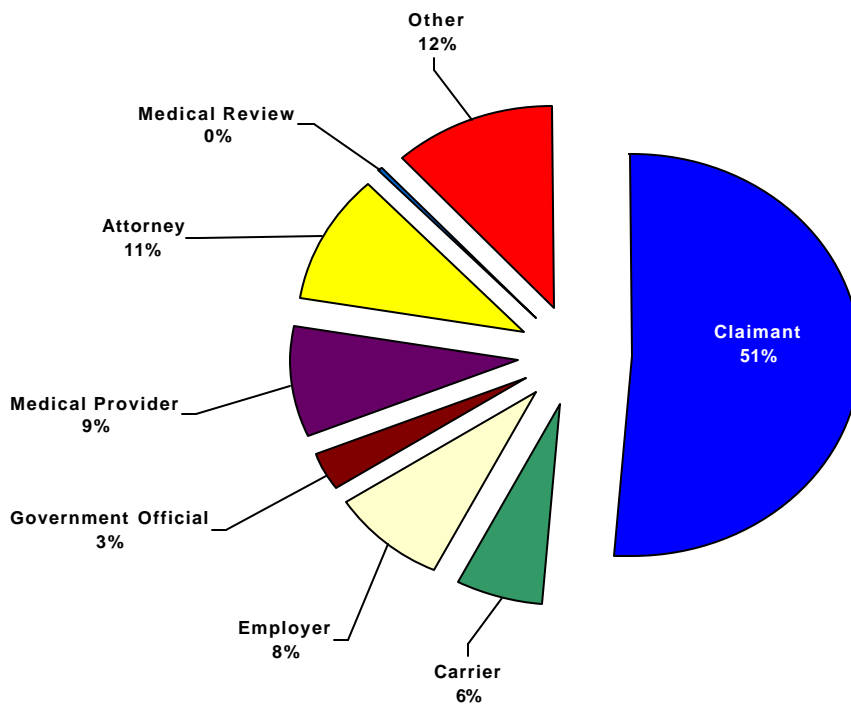
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.



## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- " Virus Software - Random check of virus software on 17 servers.
- " MS Security Patches – 230 workstations and 17 Servers.
- " Viruscan 8.0 – 200 machines.
- " Critical updates — 230 machines 2 times.
- " User templates updated with Script — 230 machines.
- " RFA load — 2 machines.
- " Travel – 8 field offices for various reasons.
- " COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- " Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- " Ghost - 30 machines.
- " Printer – 7 setup.
- " Move – 17 offices; 1 field office—Network & equipment.
- " Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- " Backups – SQL issues; TSM issues; restored files; scripts for logs.
- " Software Loads – 3 users; 12 training machines (2 times).
- " EDI - transmitting data issues.
- " Ids – 4 new user accounts; profiles; deletes/ move directories
- " KY Phone Directory – 1 troubleshooting issue.
- " UPS – troubleshoot 1 machine.
- " Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- " Maintenance – 2005/2006 OWC.
- " Machines – 5 swap.
- " Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board: **114**

Final dispositions by Board: **11**

Full Board opinions: **0**

Opinions rendered by board members:

Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>

Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>

Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



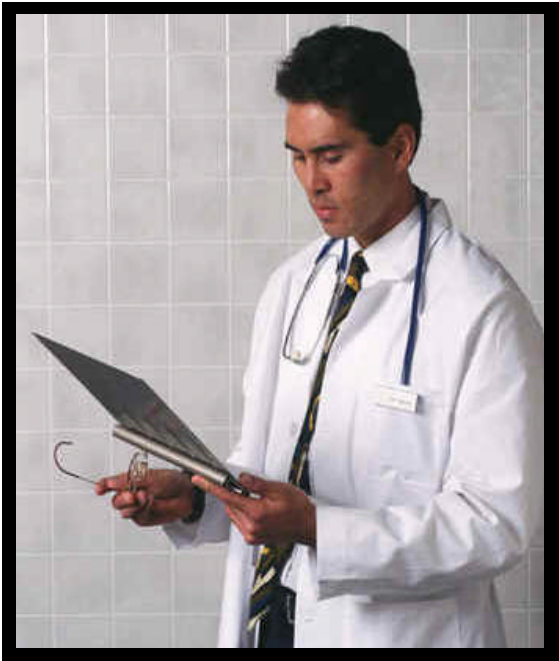
## QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

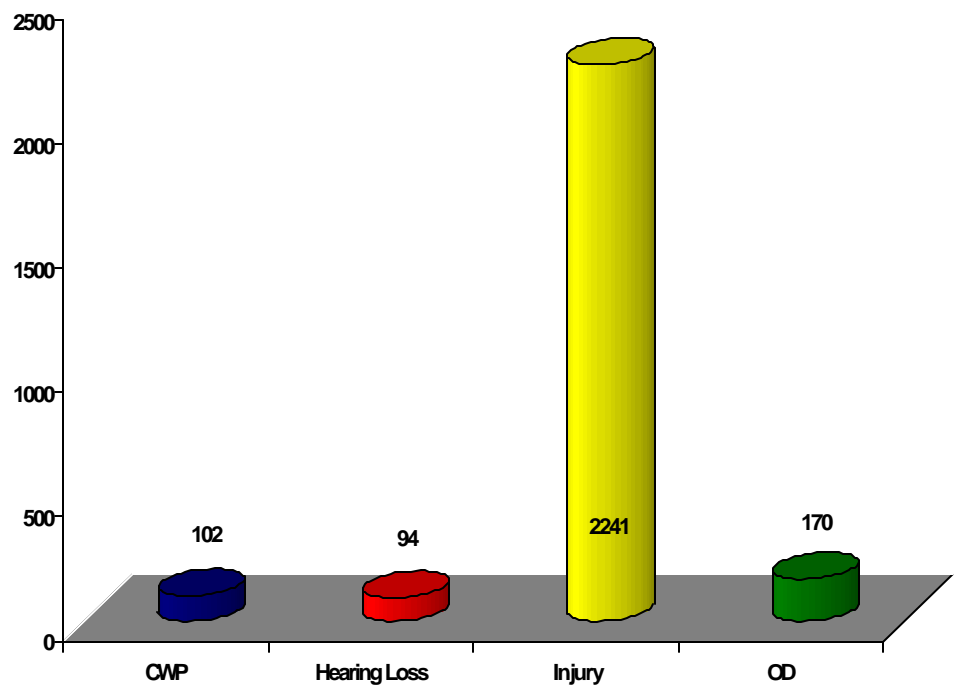


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58



## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

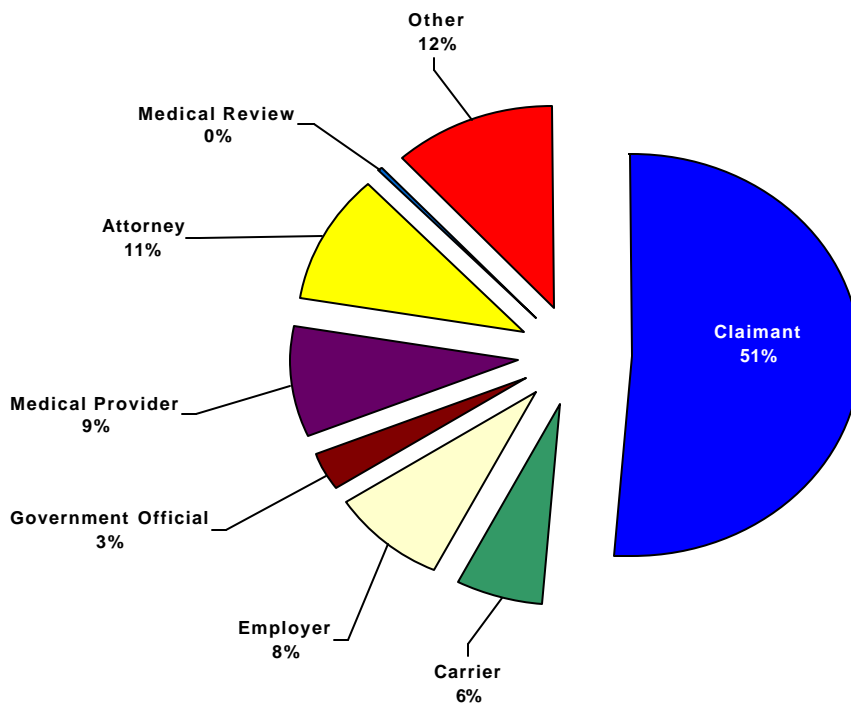
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance





# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.

## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- Virus Software - Random check of virus software on 17 servers.
- MS Security Patches – 230 workstations and 17 Servers.
- Viruscan 8.0 – 200 machines.
- Critical updates — 230 machines 2 times.
- User templates updated with Script — 230 machines.
- RFA load — 2 machines.
- Travel – 8 field offices for various reasons.
- COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- Ghost - 30 machines.
- Printer – 7 setup.
- Move – 17 offices; 1 field office—Network & equipment.
- Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- Backups – SQL issues; TSM issues; restored files; scripts for logs.
- Software Loads – 3 users; 12 training machines (2 times).
- EDI - transmitting data issues.
- Ids – 4 new user accounts; profiles; deletes/ move directories
- KY Phone Directory – 1 troubleshooting issue.
- UPS – troubleshoot 1 machine.
- Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- Maintenance – 2005/2006 OWC.
- Machines – 5 swap.
- Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board: **114**

Final dispositions by Board: **11**

Full Board opinions: **0**

Opinions rendered by board members:

Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>

Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>

Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



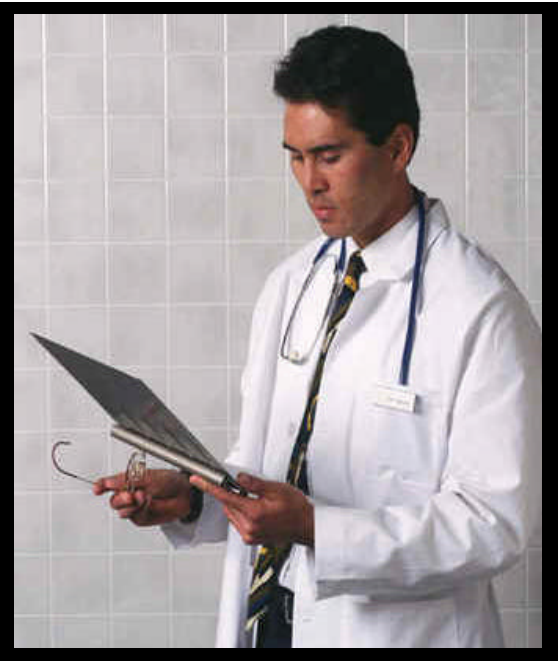
## QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52



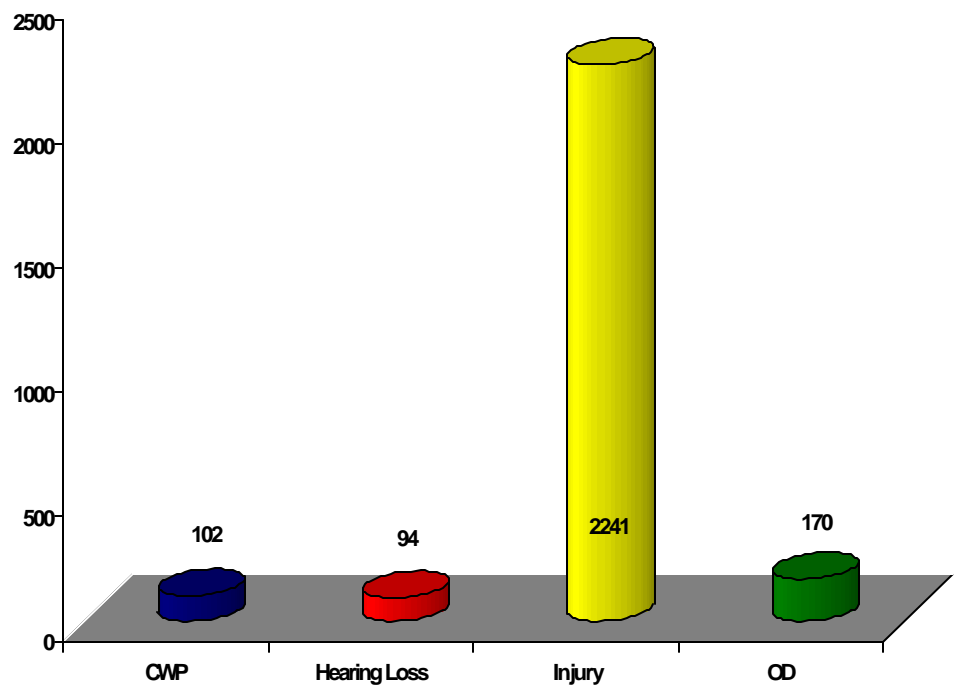
### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297



Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58

## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

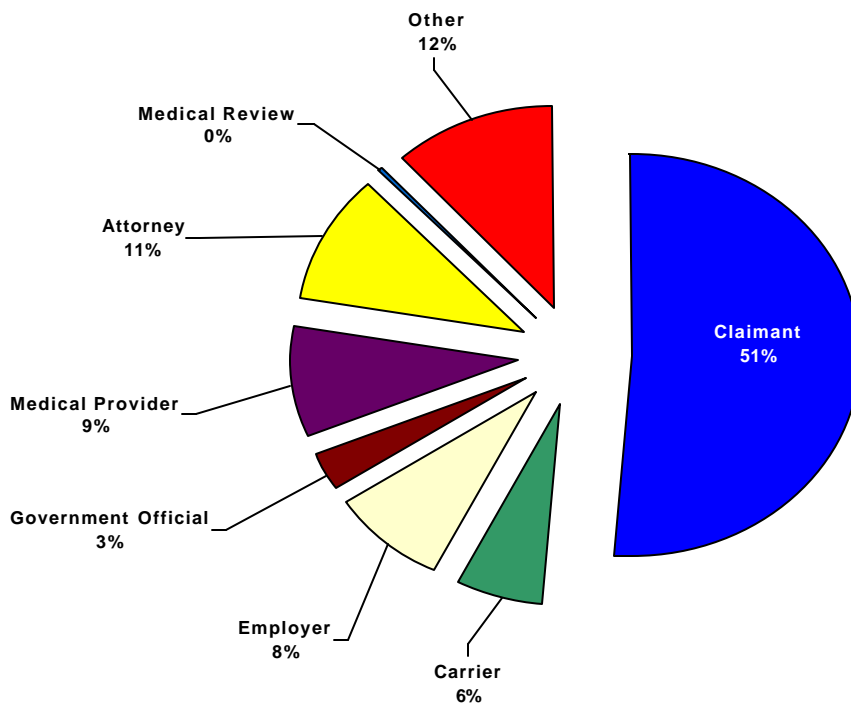
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.

## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- Virus Software - Random check of virus software on 17 servers.
- MS Security Patches – 230 workstations and 17 Servers.
- Viruscan 8.0 – 200 machines.
- Critical updates — 230 machines 2 times.
- User templates updated with Script — 230 machines.
- RFA load — 2 machines.
- Travel – 8 field offices for various reasons.
- COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- Ghost - 30 machines.
- Printer – 7 setup.
- Move – 17 offices; 1 field office—Network & equipment.
- Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- Backups – SQL issues; TSM issues; restored files; scripts for logs.
- Software Loads – 3 users; 12 training machines (2 times).
- EDI - transmitting data issues.
- Ids – 4 new user accounts; profiles; deletes/ move directories
- KY Phone Directory – 1 troubleshooting issue.
- UPS – troubleshoot 1 machine.
- Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- Maintenance – 2005/2006 OWC.
- Machines – 5 swap.
- Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8



## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board: **114**

Final dispositions by Board: **11**

Full Board opinions: **0**

Opinions rendered by board members:

Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>

Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>

Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



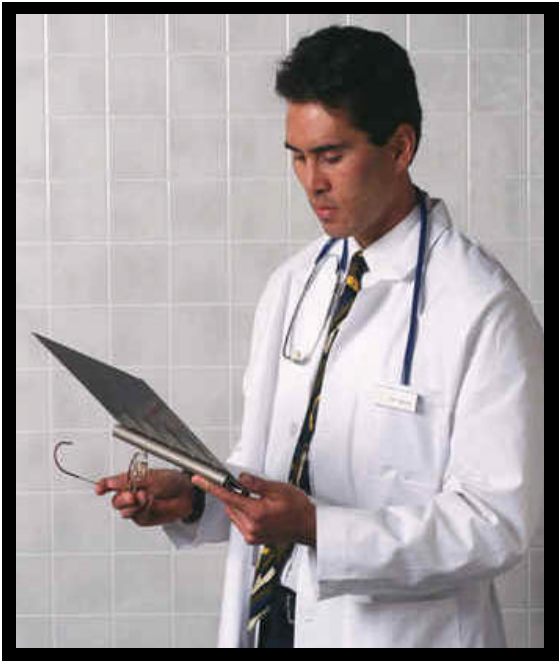
# QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

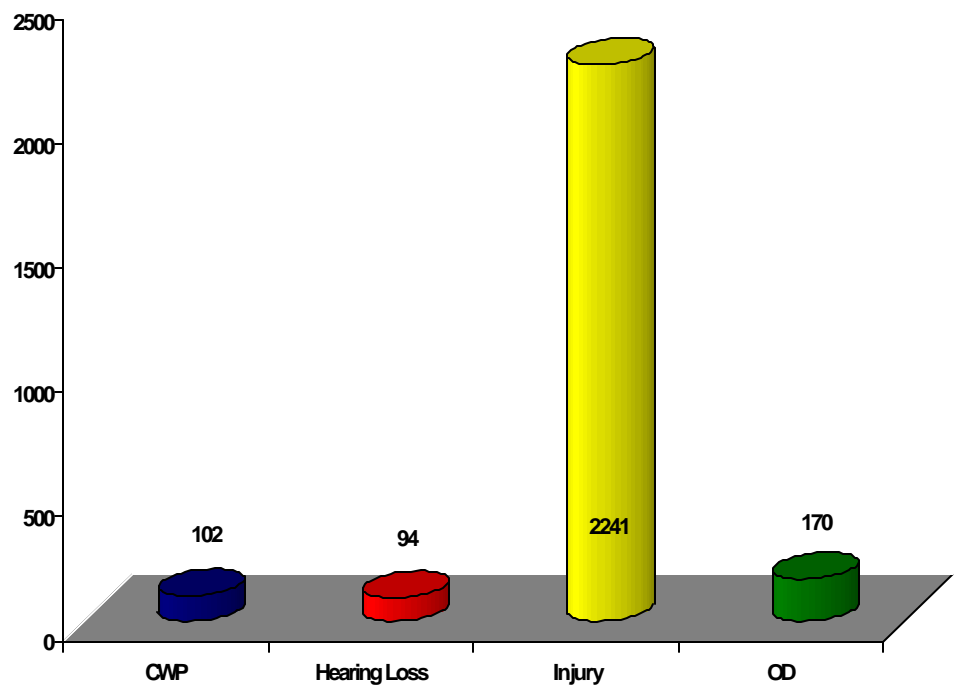


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58

## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

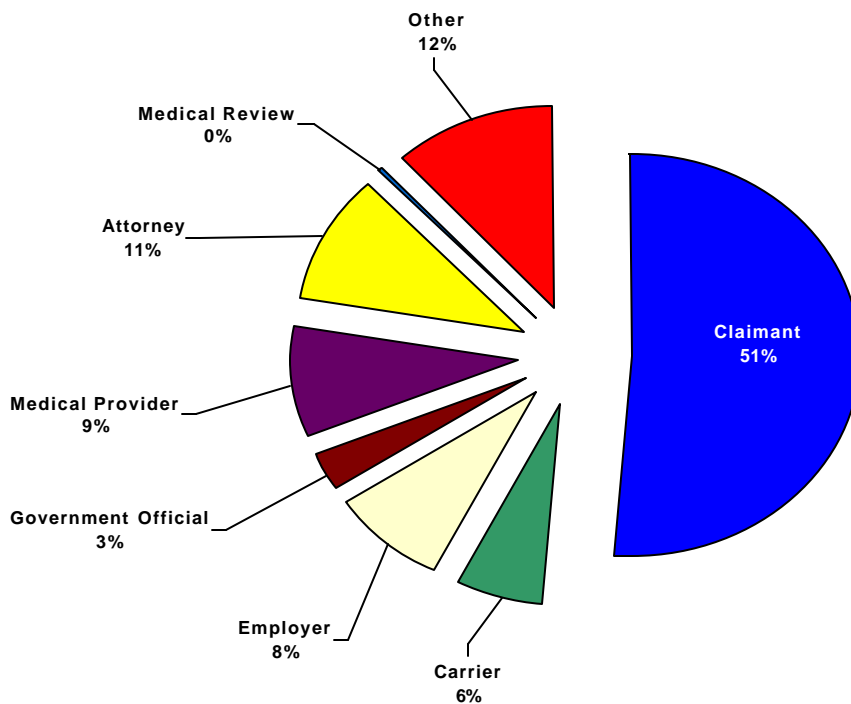
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.

## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- " Virus Software - Random check of virus software on 17 servers.
- " MS Security Patches – 230 workstations and 17 Servers.
- " Viruscan 8.0 – 200 machines.
- " Critical updates — 230 machines 2 times.
- " User templates updated with Script — 230 machines.
- " RFA load — 2 machines.
- " Travel – 8 field offices for various reasons.
- " COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- " Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- " Ghost - 30 machines.
- " Printer – 7 setup.
- " Move – 17 offices; 1 field office—Network & equipment.
- " Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- " Backups – SQL issues; TSM issues; restored files; scripts for logs.
- " Software Loads – 3 users; 12 training machines (2 times).
- " EDI - transmitting data issues.
- " Ids – 4 new user accounts; profiles; deletes/ move directories
- " KY Phone Directory – 1 troubleshooting issue.
- " UPS – troubleshoot 1 machine.
- " Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- " Maintenance – 2005/2006 OWC.
- " Machines – 5 swap.
- " Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops





- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board: **114**

Final dispositions by Board: **11**

Full Board opinions: **0**

Opinions rendered by board members:

Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>

Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>

Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



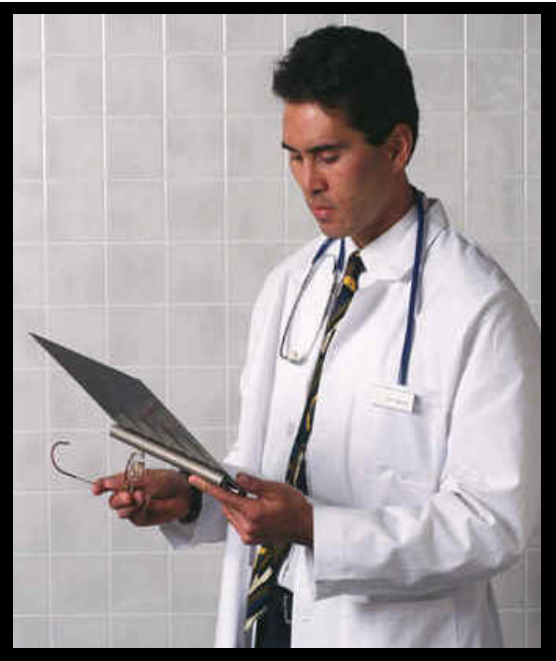
## QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

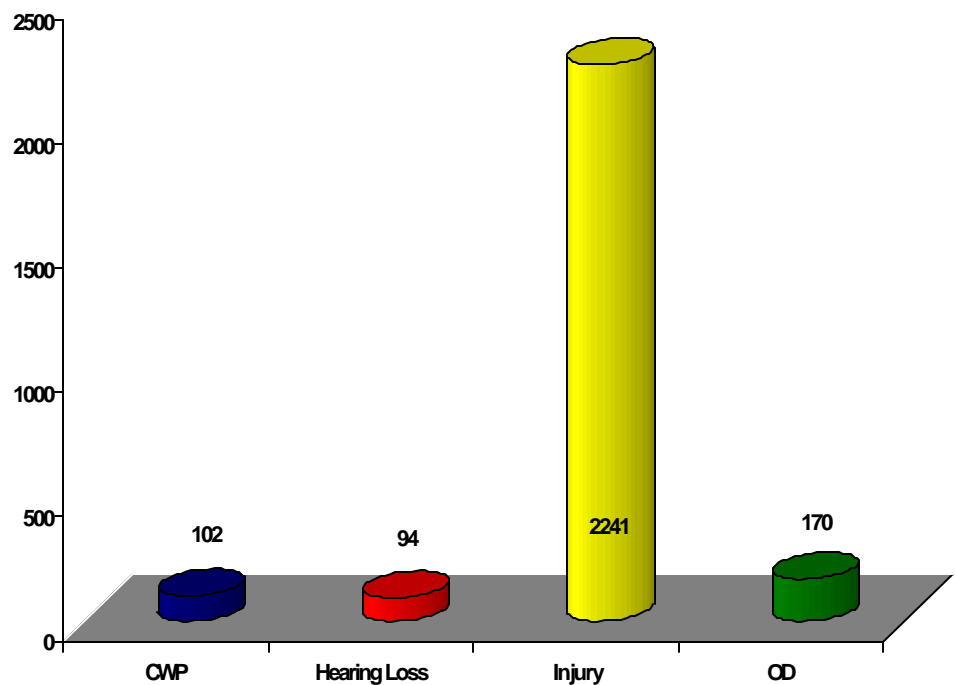


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58

## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

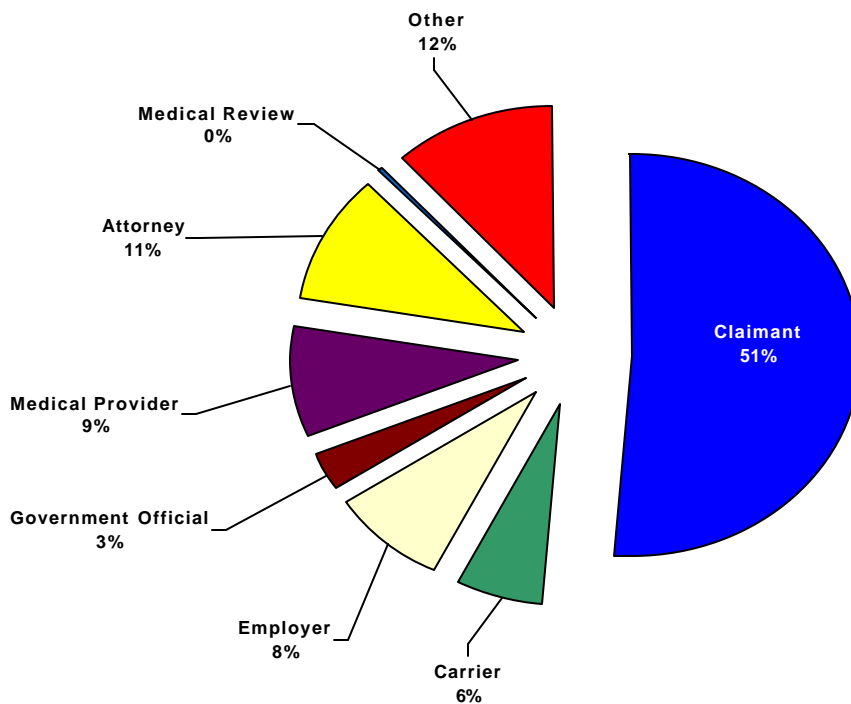
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)



## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.

## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- Virus Software - Random check of virus software on 17 servers.
- MS Security Patches – 230 workstations and 17 Servers.
- Viruscan 8.0 – 200 machines.
- Critical updates — 230 machines 2 times.
- User templates updated with Script — 230 machines.
- RFA load — 2 machines.
- Travel – 8 field offices for various reasons.
- COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- Ghost - 30 machines.
- Printer – 7 setup.
- Move – 17 offices; 1 field office—Network & equipment.
- Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- Backups – SQL issues; TSM issues; restored files; scripts for logs.
- Software Loads – 3 users; 12 training machines (2 times).
- EDI - transmitting data issues.
- Ids – 4 new user accounts; profiles; deletes/ move directories
- KY Phone Directory – 1 troubleshooting issue.
- UPS – troubleshoot 1 machine.
- Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- Maintenance – 2005/2006 OWC.
- Machines – 5 swap.
- Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board:	<b>114</b>
Final dispositions by Board:	<b>11</b>
Full Board opinions:	<b>0</b>
Opinions rendered by board members:	
Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>
Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>
Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



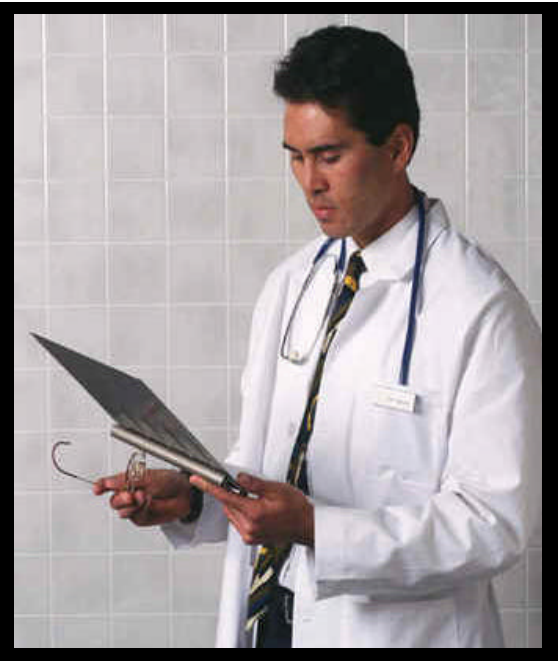
## QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

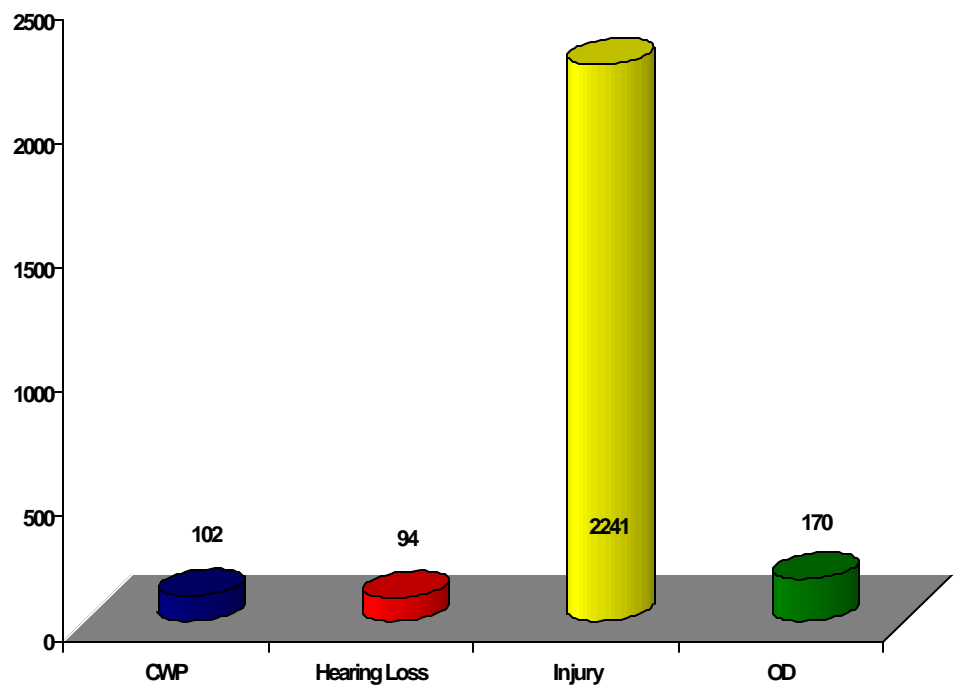


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58



## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

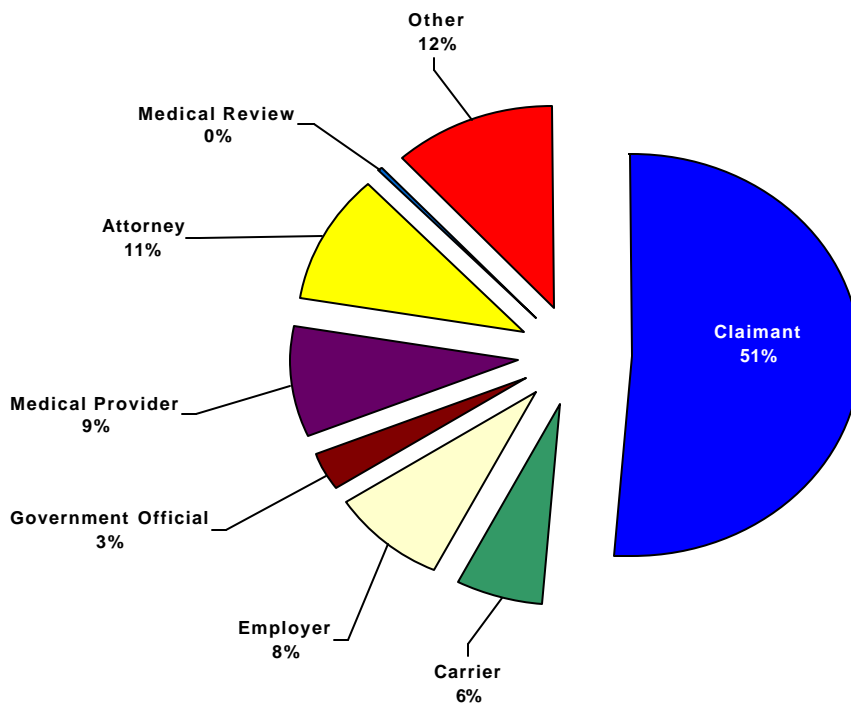
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.

## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- Virus Software - Random check of virus software on 17 servers.
- MS Security Patches – 230 workstations and 17 Servers.
- Viruscan 8.0 – 200 machines.
- Critical updates — 230 machines 2 times.
- User templates updated with Script — 230 machines.
- RFA load — 2 machines.
- Travel – 8 field offices for various reasons.
- COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- Ghost - 30 machines.
- Printer – 7 setup.
- Move – 17 offices; 1 field office—Network & equipment.
- Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- Backups – SQL issues; TSM issues; restored files; scripts for logs.
- Software Loads – 3 users; 12 training machines (2 times).
- EDI - transmitting data issues.
- Ids – 4 new user accounts; profiles; deletes/ move directories
- KY Phone Directory – 1 troubleshooting issue.
- UPS – troubleshoot 1 machine.
- Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- Maintenance – 2005/2006 OWC.
- Machines – 5 swap.
- Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board: **114**

Final dispositions by Board: **11**

Full Board opinions: **0**

Opinions rendered by board members:

Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>

Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>

Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>





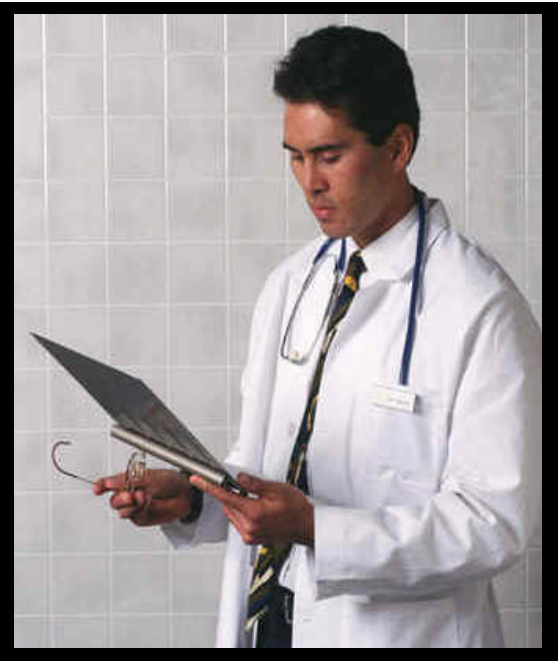
## QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

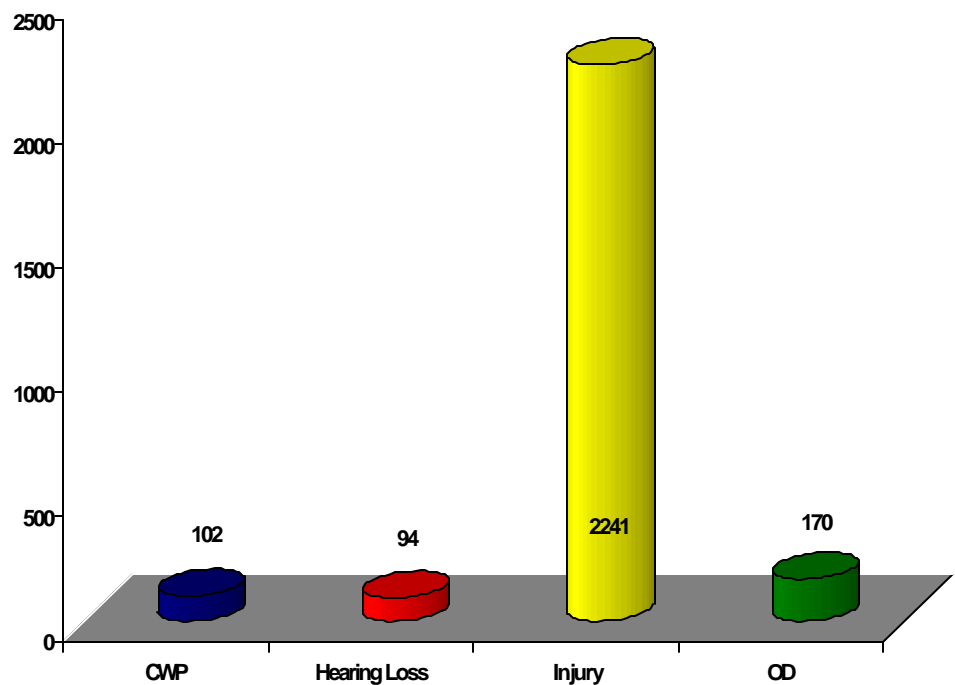


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58

## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

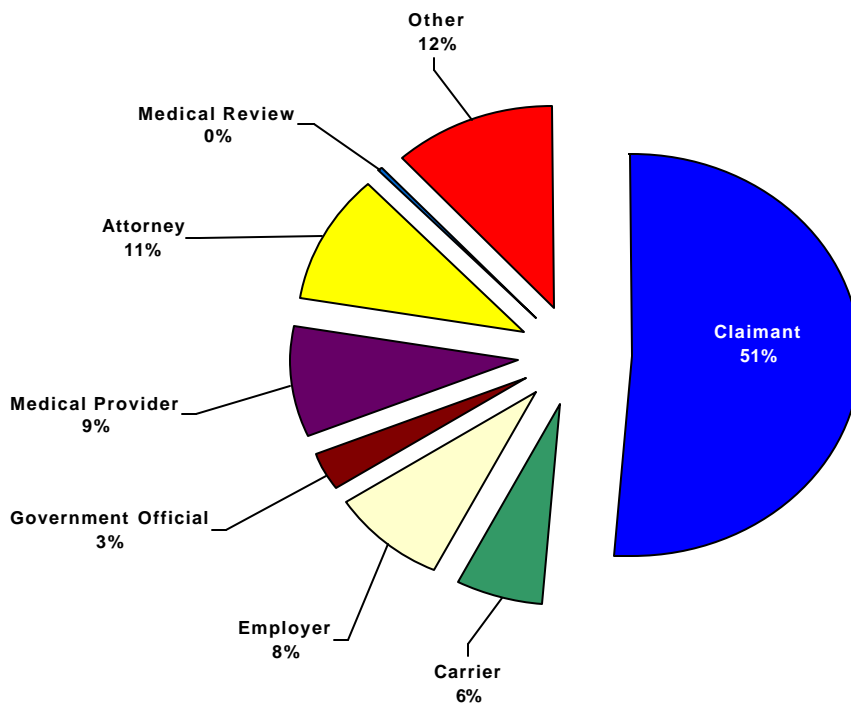
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

Self-InsuranceBranch

Special Projects:

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

Enforcement Branch

Statistics

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.

## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- Virus Software - Random check of virus software on 17 servers.
- MS Security Patches – 230 workstations and 17 Servers.
- Viruscan 8.0 – 200 machines.
- Critical updates — 230 machines 2 times.
- User templates updated with Script — 230 machines.
- RFA load — 2 machines.
- Travel – 8 field offices for various reasons.
- COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- Ghost - 30 machines.
- Printer – 7 setup.
- Move – 17 offices; 1 field office—Network & equipment.
- Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- Backups – SQL issues; TSM issues; restored files; scripts for logs.
- Software Loads – 3 users; 12 training machines (2 times).
- EDI - transmitting data issues.
- Ids – 4 new user accounts; profiles; deletes/ move directories
- KY Phone Directory – 1 troubleshooting issue.
- UPS – troubleshoot 1 machine.
- Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- Maintenance – 2005/2006 OWC.
- Machines – 5 swap.
- Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11



# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board: **114**

Final dispositions by Board: **11**

Full Board opinions: **0**

Opinions rendered by board members:

Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>

Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>

Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



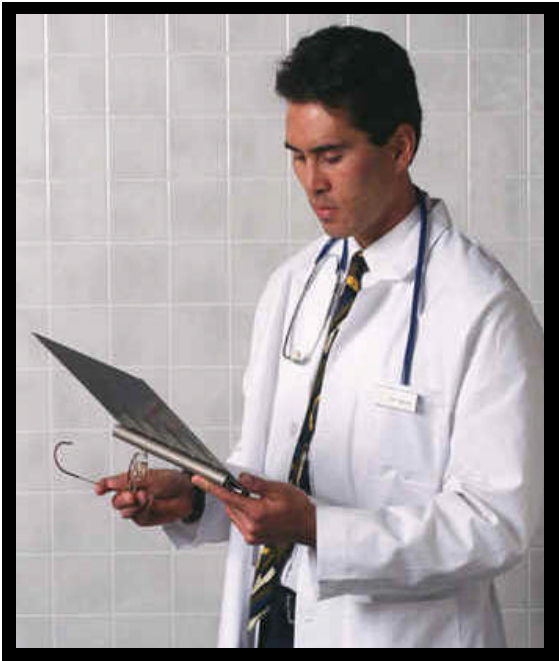
# QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

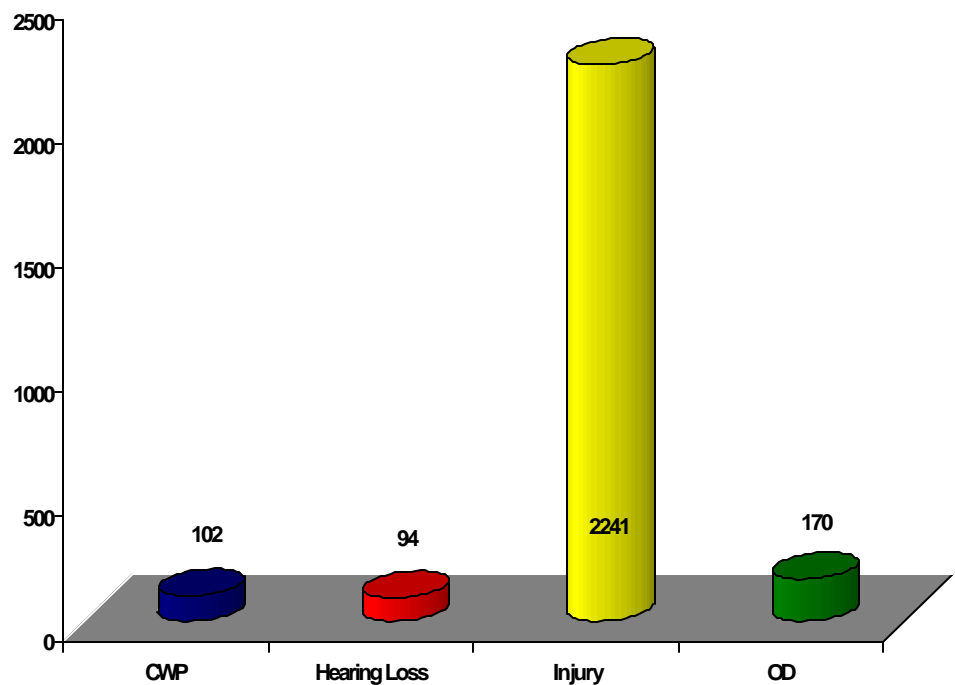


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58

## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

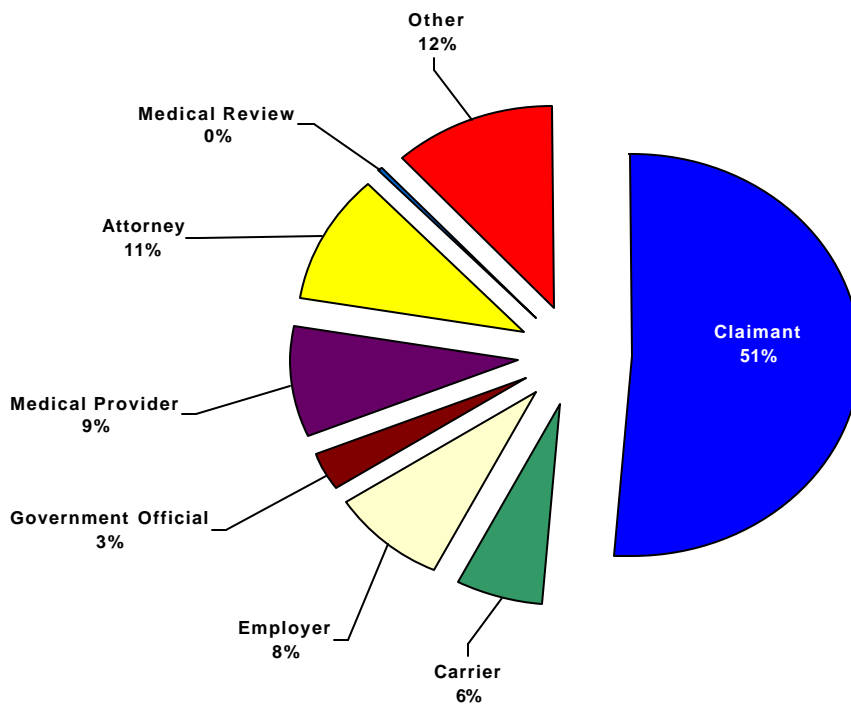
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance



# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.



## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- " Virus Software - Random check of virus software on 17 servers.
- " MS Security Patches – 230 workstations and 17 Servers.
- " Viruscan 8.0 – 200 machines.
- " Critical updates — 230 machines 2 times.
- " User templates updated with Script — 230 machines.
- " RFA load — 2 machines.
- " Travel – 8 field offices for various reasons.
- " COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- " Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- " Ghost - 30 machines.
- " Printer – 7 setup.
- " Move – 17 offices; 1 field office—Network & equipment.
- " Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- " Backups – SQL issues; TSM issues; restored files; scripts for logs.
- " Software Loads – 3 users; 12 training machines (2 times).
- " EDI - transmitting data issues.
- " Ids – 4 new user accounts; profiles; deletes/ move directories
- " KY Phone Directory – 1 troubleshooting issue.
- " UPS – troubleshoot 1 machine.
- " Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- " Maintenance – 2005/2006 OWC.
- " Machines – 5 swap.
- " Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11

# The Link

Quarterly Activity Report  
April-June 2005



<http://labor.ky.gov/dwc>

## Division of Information & Research

### Records Branch

#### Coding Section

Manually Added First Reports:

Claims	379
Agreements	117
Medical Fee Disputes	8

EDI Received 10,125

#### Data Entry Section

Mail Received	25,513
Orders	7,504
Opinion/Awards	396
Docket Orders	767

#### Open Records

Written Requests	3,945
Walk-In Requests	8
Social Security	115
Pre-Employment	5,432
Fish & Wildlife	31



### Imaging Branch

#### Imaging

Total Sheets Scanned: 418,051

Equates to:

Total Documents Scanned: 56,735

#### Micrographics

Total File Request for this Branch: 180

Total Sheets Printed from microfilm: 41,533

### Contents

ALJ's, Appeals, General Counsel	Page 2	Ombudsmen	Page 5
Quarterly Statistics	Pages 3/4	Medical Evaluations & Voc Rehab	Page 6
Claims Processing	Page 5	Security & Compliance	Page 7
		Tech Support	Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,354</b>
Formal Hearings	<b>578</b>
Opinions	<b>425</b>
Remands	<b>17</b>

## Office of General Counsel

The Office of General Counsel received:  
**307** citation cases for this quarter

**4** UCP cases

**6** Fraud cases

Fines and penalties collected for this quarter:  
**\$193,737.99**

Appeals Branch

Appeals to the Board:	<b>114</b>
Final dispositions by Board:	<b>11</b>
Full Board opinions:	<b>0</b>
Opinions rendered by board members:	
Stanley	<b>33</b>
Gardner	<b>32</b>
Young	<b>37</b>
<b>Total:</b>	<b>102</b>
Appeals to Court of Appeals:	<b>36</b>
Records sent to court:	<b>37</b>
Court orders final disposition:	<b>3</b>
Court of Appeals opinions:	<b>36</b>
Appeals to Supreme Court:	<b>12</b>
Court orders final disposition:	<b>2</b>
Supreme Court opinions:	<b>19</b>
Total Appellate Court Cases Disposed of:	<b>58</b>



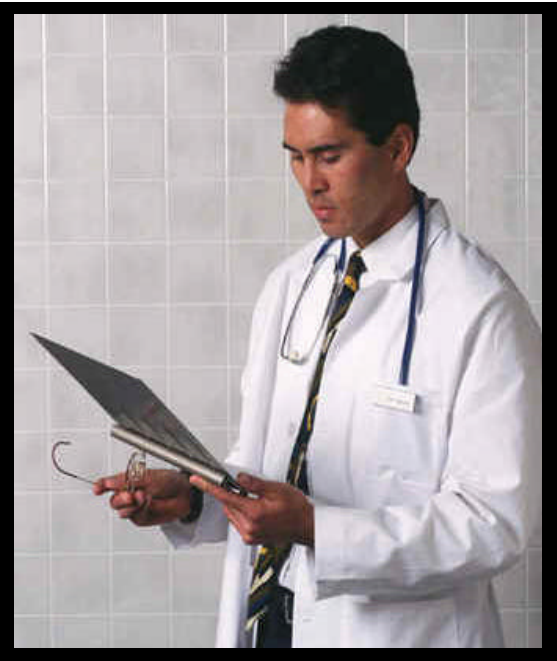
# QUARTERLY ACTIVITY

Lost Time First Reports of Injury	9,434	Dismissals	185
Claims Assigned	2,607	Re-openings (medical)	198
Pre-litigated Agreements	1,055	Re-openings (overruled)	18
Awards	312	Re-openings (sustained)	51
Agreements	985	Re-openings (motion docket)	197
Appeals (to Court of Appeals)		39	
Appeals (to Supreme Court)		13	
Appeals (to the Board)		113	

## Distribution by Body Part (Top Ten)

### Claims

Lower Back	581
Multiple Body Parts Including Systems	508
Shoulder	186
Knee	177
Disc	112
Lungs	111
Wrist	111
Ears	95
Multiple Upper Extremities	65
Fingers	52

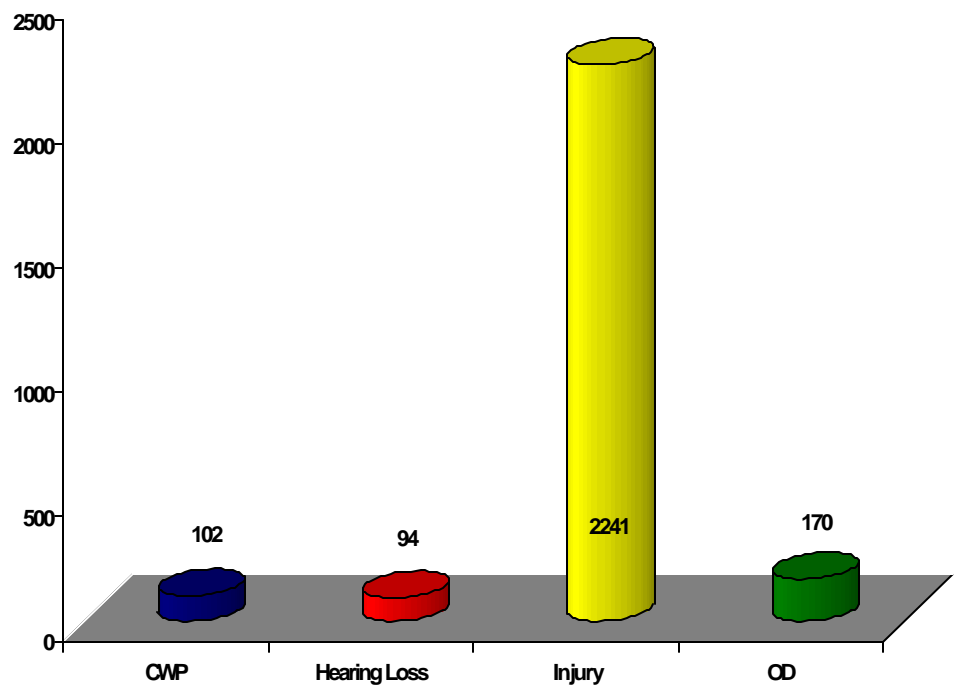


### FROIS

(First Report of Injury)

Lower Back	1,615
Multiple Body Parts Including Systems	1,140
Knee	783
Shoulders	652
Fingers	591
Wrist	474
Hand	402
Ankle	360
Abdomen Including Groin	302
Foot	297

Distrubution of Claims by Type



Top 10 Causes of Injury Claims

Fall or Slip	513
Lifting	472
Strains of Injured by	213
Repetitive Motion	177
Motor Vehicle	171
Pushing/Pulling	147
Falling or Flying Object	121
Absorption/Ingestion	118
Continual Noise	94
Other Injury (NOC)	63

Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	27	198
Mining	452	467
Construction	257	780
Manufacturing	563	1,961
Public Utilities & Transportation	230	861
Wholesale Trade	84	318
Retail Trade	288	1,413
Finances, Insurance, and Real Estate	33	135
Services	555	2,617
Public Administration	88	626
Unclassified	30	58



## Division of Claims Processing

### Claims Assignment

New claims ( were CWP claims) **1,178**  
 New claims to the judges. **1,095**  
 Motion docket cases **245**

### Docket Section

Assigned **894** motions to **13** motion dockets

### Case Files Section

Received **684** new motions and assigned a total of **863**.

Total files returned for the period April-June, 2005 was **1,614**.

Cases purged were **1,704**.

### Agreements

First report agreements **1,199**  
 Attorney fee motions **265**  
 Lump sum settlements **224**  
 Approved agreements **210**  
 Requests for widow's benefits **62**

## Division of Ombudsmen and Workers' Compensation Specialists Services

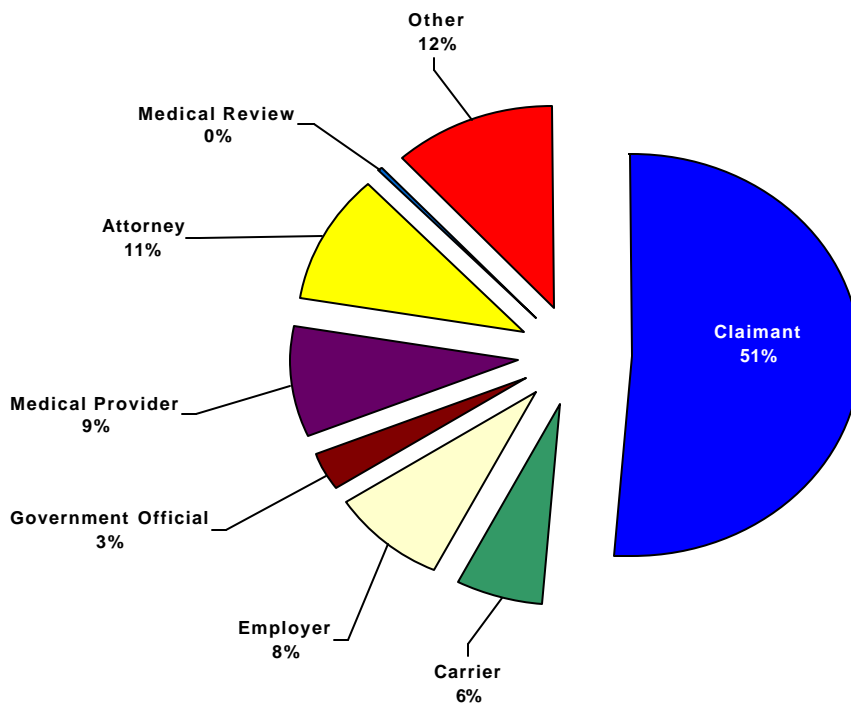
### Mediation

Mediation Requests: **485**  
 Mediation Completed Successfully: **204**

### Claims

Claims filed: **4**  
 Number of other forms assistance: **31**

### Sources of Request for Assistance





# Medical Evaluations

The number of shipments to B-reader’s for HB 348 during the 2nd quarter of 2005:

1<sup>st</sup> b-reader: 34  
2<sup>nd</sup> b-reader: 50  
3<sup>rd</sup> b-reader: 43  
Total: 138

University of Kentucky			Apr-05	May-05	Jun-05	Total
060	RIB		2	0	3	5
062	BL		1	0	0	1
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		1	3	2	6
073	Contagious dis.		2	0	1	3
067 & 080	Lead Poison/Liver Dis.		0	0	1	1
061	Asbestosis		2	2	0	4
072	Hearing Loss		1	8	17	26
(ALL)	Injury		3	7	1	11
068	Dermatitis		0	0	0	0
TOTAL			12	20	25	57
University of Louisville			Apr-05	May-05	Jun-05	Total
060	RIB		0	0	0	0
062	BL		0	0	0	0
064	Silicosis		0	0	0	0
059	Asthma		0	0	0	0
065 & 071	Fumes/Chem./Poison/Inflam.		0	0	0	0
073	Contagious dis.		0	0	0	0
067 & 080	Lead Poison/Liver Dis.		0	0	0	0
061	Asbestosis		0	0	0	0
072	Hearing Loss		3	10	8	21
(ALL)	Injury		7	4	10	21
068	Dermatitis		0	0	0	0
TOTAL			10	14	18	42

# Vocational Rehabilitation

Cases referred by ALJ’s 38  
Cases closed 10 (0 employed, 10 unemployed)

## Division of Security & Compliance

During the 2<sup>nd</sup> quarter of 2005, two companies became newly self-insured and one company left self-insurance, leaving 166 self-insured companies as of 6/30/05.

### Self-InsuranceBranch

**Special Projects:**

- Continued oversight and audit of special pilot project involving three school systems whose goal is to determine feasibility of self-insurance for Boards of Education
- Addition of information about the Division of Security & Compliance to the EPPC Intranet website
- Updated the Self-Insurance Branch Procedures Manuel

### Enforcement Branch

**Statistics**

	April	May	June	Totals 2nd Quarter
Investigations	944	825	893	2,662
NonCompliant Employers	188	158	179	525
Citations Issued	127	110	85	322
Penalties Collected	\$86,468	\$85,944	\$108,276	\$280,688
Form 4's Processed	680	710	576	1,966

### Coverage Branch

Transactions Processed for 1st Quarter = **47,322**

91% Acceptance Rate among all vendors, with KEMI having the greatest acceptance rate of 95%.

## The Technical Support & Design and Development Section

The Technical Support & Design and Development Section responds to all technical, networking and programming needs for the Office of Workers' Claims. Technical Support staff responded to 380 Helpdesk calls for the quarter. In addition to responding to all helpdesk issues, Technical Support was responsible for:

- Virus Software - Random check of virus software on 17 servers.
- MS Security Patches – 230 workstations and 17 Servers.
- Viruscan 8.0 – 200 machines.
- Critical updates — 230 machines 2 times.
- User templates updated with Script — 230 machines.
- RFA load — 2 machines.
- Travel – 8 field offices for various reasons.
- COT – 1 network issue; 1 contivity issue; coordinated move of Henderson office (cabling, circuit, and physical move); dialup issues.
- Blackberries — 1 troubleshooting issue; ordered 3 Blackberries for new users.
- Ghost - 30 machines.
- Printer – 7 setup.
- Move – 17 offices; 1 field office—Network & equipment.
- Meetings – 3 IBM Rep.; 3 Labor; Exec. Director; COT.
- Backups – SQL issues; TSM issues; restored files; scripts for logs.
- Software Loads – 3 users; 12 training machines (2 times).
- EDI - transmitting data issues.
- Ids – 4 new user accounts; profiles; deletes/ move directories
- KY Phone Directory – 1 troubleshooting issue.
- UPS – troubleshoot 1 machine.
- Research – scanner issues; Business Card Reader/Scanner; creating boot disks for new NIC cards; Outlook issue; Interpreters for Tagalong language for judge; Applications used by OWC; COMVault backup software.
- Maintenance – 2005/2006 OWC.
- Machines – 5 swap.
- Service calls –
  - 4 Printers
  - 2 Machines
  - 1 Laptops



- “ Scanners – Worked VRS 4.0 on new scanners; rebuilt Scanning 4990 machine; new image; registered all new scanners; installed color scanner; rolled out 6 new scanners.
- “ Document scans – Various Sections.
- “ Dialup – 7 users – worked with COT and Cabinet.
- “ New Machines – 1 Laptop; 2 New Machines; New boot disk; 11 Tablet Pcs rolled out.
- “ Images – 1 laptop; 1 Tablet PC.
- “ Laptop – 5 machines; docking stations.
- “ AV setup – 2 meetings.
- “ Training Room – 12 machines; 2 Training Sessions; Updated all machines on latest software.
- “ Telephone – dedicated line in conference room.
- “ WEB – 4 users for web access.
- “ CICS – For payroll—set users up and helped them get logged on.
- “ Servers – 1 2003 print server; configuration for SIMBA servers.
- “ Invoices – Accent invoice with Administrative Services.
- “ Training – 5 classes
- “ Staff – 1 new employee

### **Design & Development**

- “ New build of Simba placed into production April 4, 2005, May 6, 2005 and June 10, 2005.
- “ New build of Rehab placed into production June 24, 2005.
- “ Provided training for Simba on April 28, 2005 and May 20, 2005.
- “ Updated and installed the 992 calculator on the Internet website.
- “ Automated a lot of the morning EDI process.
- “ New Test Track Issues reported in this quarter – 63
- “ Closed Test Track Issues in this quarter – 75
- “ Simba accounts created – 7
- “ Simba accounts disabled – 4
- “ Med Fee discs created – 48
- “ FileNet access/deletes requests – 11